Guide for States implementing both
National Core Indicators™ (NCI) and
National Core Indicators for Aging and Disability™ (NCI-AD)

The NCI and NCI-AD survey projects are separate projects with multiple parallels that can be useful to states that adopt both surveys for quality improvement. The table below provides a summary to help states in planning for implementation of both surveys.

	NCI™	**NCI-AD** NATIONAL CORE INDICATORS Aging and Disabilities™
Target population	1. Adults with intellectual / developmental disability (IDD) who receive supports through the **developmental disability service system administered by state agencies**. 2. Families and guardians of adults or children with IDD These services can be delivered through • Home and community based Medicaid Waivers • Medicaid State Plan services • Managed LTSS programs • State funded, non-Medicaid services • Interim-Care Facilities for those with intellectual/Developmental Disabilities (I/DD)	1. Adults who receive supports because of a **physical disability and/or an age-related disability**. Individuals can be served in nursing homes/skilled nursing facilities or through home and community based programs such as: • Aging and/or disability Medicaid Waivers • ABI/TBI Medicaid Waivers • Medicaid State Plans • PACE Programs • Older Americans Act Programs • Managed LTSS Programs • State-specific Programs
State level **accountable agency**	The state agency responsible for administering the Developmental Disability service system.	Varies by state but should include the state agency responsible for aging, disability, and/or Medicaid services.
Survey **tools** available.	NCI Adult In-Person Survey: Responses collected through an in-person semi-structured interview. Family surveys: Sent to families and guardians of adults and children receiving supports, via paper or electronic* copy *piloted in 2015-2016 Staff Stability Survey: on-line survey of eligible provider agencies who employ Direct Support Professionals	NCI-AD Adult survey: Responses collected through an in-person semi-structured interview.

	NCI	NCI-AD
Use of **Proxy Respondent**	Proxy respondent allowed for a portion of the NCI Adult In-Person Survey.	Proxy respondent allowed for a subset of questions in NCI-AD Adult Survey, using a modified proxy version of the tool.
Minimum surveyor **training requirements**	**New surveyors** – standardized in-person training with NCI staff or state designated lead trainers. Surveyors must demonstrate understanding of surveying techniques, including using a conversational tone, appropriately rephrasing questions. Interrater reliability and consistency in surveying monitored by state NCI coordinator. **Returning surveyors** – annual refresher training either via webinar or in-person.	**New surveyors** – standardized in-person training with NCI-AD staff or state designated lead trainers. Surveyors must demonstrate understanding of surveying techniques, including using a conversational tone, appropriately rephrasing questions. Interrater reliability and consistency in surveying monitored by state NCI-AD coordinator. **Returning surveyors** – annual refresher training either via webinar or in-person.
Sample size and approach	Minimum of 400 surveys drawn from served population. States may choose to oversample to allow for regions, sub-populations, etc. The sampling design must be reviewed by HSRI.	Minimum of 400 surveys drawn from served population. States may choose to oversample to allow for comparisons between programs, regions, MCOs, etc. The sampling design must be reviewed by HSRI.
Tool developers	Human Services Research Institute (HSRI) and the National Association of State Directors of Developmental Disability Services (NASDDDS)	Human Services Research Institute (HSRI) and the National Association of States United for Aging and Disabilities (NASUAD)
Domains covered / sections of the survey	Employment Community Inclusion Choice & Decision making Relationships Self Determination Rights Health and Welfare Safety Service Coordination Access	Community Participation Choice and Decision Making Relationships Satisfaction Service Coordination Care Coordination Access Safety Health Care Wellness Medications Self-Direction of Care Work Everyday Living Affordability Control

	NCI	NCI-AD
Data collection **timeline**	July 1 – June 30 each year	June 1 – May 31 each year
Data collection **platform**	De-identified data are transmitted to HSRI through secure online-data-entry-survey-application (ODESA).	De-identified data are transmitted to HSRI through secure on-line data-entry-survey-application (ODESA). *in some states, data may be transmitted directly to HSRI in a pre-approved alternate format
Included in **Dues**	• Technical Assistance for state agency staff/coordinators • Technical assistance on administration of surveys • Technical Assistance to identify sample • Training of surveyors • Data collection platform and management • Data analysis and preparation of state and national summary reports including national benchmarks • Access for state to its' raw and clean datasets. • Webinars on results and topic specific briefings. • Access to other state agencies experience • NCI website with national reports and resources • On-line chart generator • Annual meeting (does not include travel costs) open to all NCI participants for information sharing and current practice	• Technical Assistance for state agency staff/coordinators • Technical assistance on administration of survey • Technical Assistance to identify sample • Training of surveyors • Data collection platform and management • Data analysis and preparation of state and national summary reports. • Access for state to its' raw and clean datasets. • Webinars on results and topic specific briefings. • Access to other state agencies experience • NCI-AD website with national reports and resources
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